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SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
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07/797,401 11/26/91 MC CARTHY

P

EXAMINER

TRAN, K

ART UNIT

PAPER NUMBER

WOOD, HERRON & EVANS  
2700 CAREW TOWER  
CINCINNATI, OH 45202

2311

DATE MAILED:

12/11/92

### NOTICE OF ALLOWABILITY

*Supplemental*

#### PART I.

1. ☒ This communication is responsive to amendment filed 9-14-92, now matched w/ case
2. ☒ All the claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice Of Allowance And Issue Fee Due or other appropriate communication will be sent in due course.
3. ☒ The allowed claims are 1-40
4. ☐ The drawings filed on \_\_\_\_\_ are acceptable.
5. ☐ Acknowledgment is made of the claim for priority under 35 U.S.C. 119. The certified copy has ☐ been received. ☐ not been received. ☐ been filed in parent application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_
6. ☐ Note the attached Examiner's Amendment.
7. ☐ Note the attached Examiner Interview Summary Record, PTOL-413.
8. ☐ Note the attached Examiner's Statement of Reasons for Allowance.
9. ☐ Note the attached NOTICE OF REFERENCES CITED, PTO-892.
10. ☐ Note the attached INFORMATION DISCLOSURE CITATION, PTO-1449.

#### PART II.

A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE THREE MONTHS FROM THE "DATE MAILED" indicated on this form. Failure to timely comply will result in the ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

1. ☐ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.
2. ☐ APPLICANT MUST MAKE THE DRAWING CHANGES INDICATED BELOW IN THE MANNER SET FORTH ON THE REVERSE SIDE OF THIS PAPER.
  - a. ☐ Drawing informalities are indicated on the NOTICE RE PATENT DRAWINGS, PTO-948, attached hereto or to Paper No. \_\_\_\_\_. CORRECTION IS REQUIRED.
  - b. ☐ The proposed drawing correction filed on \_\_\_\_\_ has been approved by the examiner. CORRECTION IS REQUIRED.
  - c. ☐ Approved drawing corrections are described by the examiner in the attached EXAMINER'S AMENDMENT. CORRECTION IS REQUIRED.
  - d. ☐ Formal drawings are now REQUIRED.

Any response to this letter should include in the upper right hand corner, the following information from the NOTICE OF ALLOWANCE AND ISSUE FEE DUE: ISSUE BATCH NUMBER, DATE OF THE NOTICE OF ALLOWANCE, AND SERIAL NUMBER.

#### Attachments:

- |                                               |                                           |
|-----------------------------------------------|-------------------------------------------|
| - Examiner's Amendment                        | - Notice of Informal Application, PTO-152 |
| - Examiner Interview Summary Record, PTOL-413 | - Notice re Patent Drawings, PTO-948      |
| - Reasons for Allowance                       | - Listing of Bonded Draftsmen             |
| - Notice of References Cited, PTO-892         | - Other                                   |
| - Information Disclosure Citation, PTO-1449   |                                           |

*R. N. Envall Jr.*

Roy N. Envall Jr.  
Supervisory  
Patent Examiner  
Group 2300

BEST AVAILABLE COPY

## PART B - ISSUE FEE TRANSMITTAL

\$585-242 B

**MAILING INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE. Blocks 2 through 6 should be completed where appropriate. All further correspondence, including the Issue Fee Receipt, the Patent, advanced orders and notification of maintenance fees will be mailed to addressee entered in Block 1 unless you direct otherwise, by: (a) specifying a new correspondence address in Block 3 below; or (b) providing the PTO with a separate "FEE ADDRESS" for maintenance fee notifications with the payment of Issue Fee or thereafter. **See reverse for Certificate of Mailing.**

1. CORRESPONDENCE ADDRESS	2. INVENTOR(S) ADDRESS CHANGE (Complete only if there is a change)
WOOD, HERRON & EVANS 2700 CAREW TOWER CINCINNATI, OH 45202	INVENTOR'S NAME
	Street Address
	City, State and ZIP Code
	CO-INVENTOR'S NAME
	Street Address
	City, State and ZIP Code
	<input type="checkbox"/> Check if additional changes are on reverse side

SERIES CODE/SERIAL NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
07/797,401	11/26/91	040	TRAN, K	09/14/92

TITLE OF INVENTION

MC CARTHY, PATRICK D.  
CENTRALIZED CONSUMER CASH VALUE ACCUMULATION SYSTEM FOR MULTIPLE MERCHANTS

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2	364-405.000	023	UTILITY	YES	\$545.00	12/14/92

## 3. Further correspondence to be mailed to the following:

Kurt L. Grossman  
Wood, Herron & Evans  
2700 Carew Tower  
Cincinnati, OH 45202

4. For printing on the patent front page, list the names of not more than 3 registered patent attorneys or agents OR alternatively, the name of a firm having as a member a registered attorney or agent. If no name is listed, no name will be printed.

Wood, Herron & Evans

2

3

DO NOT USE THIS SPACE

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1 242 585.00 CK

5. ASSIGNMENT DATA TO BE PRINTED ON THE PATENT (print or type)	6a. The following fees are enclosed:
(1) NAME OF ASSIGNEE: None	<input checked="" type="checkbox"/> Issue Fee <input type="checkbox"/> Advanced Order - # of Copies _____ (Minimum of 10)
(2) ADDRESS: (City & State or Country)	6b. The following fees should be charged to: DEPOSIT ACCOUNT NUMBER 23-3000 (Enclose Part C)
(3) STATE OF INCORPORATION, IF ASSIGNEE IS A CORPORATION	<input type="checkbox"/> Issue Fee <input type="checkbox"/> Advanced Order - # of Copies _____ (Minimum of 10)
A. <input checked="" type="checkbox"/> This application is NOT assigned.	<input checked="" type="checkbox"/> Any Deficiencies in Enclosed Fees
<input type="checkbox"/> Assignment previously submitted to the Patent and Trademark Office.	The COMMISSIONER OF PATENTS AND TRADEMARKS is requested to apply the Issue Fee to the application identified above.
<input type="checkbox"/> Assignment is being submitted under separate cover. Assignments should be directed to Box ASSIGNMENTS.	(Signature of party in interest of record) (Date)
PLEASE NOTE: Unless an assignee is identified in Block 5, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.	NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

TRANSMIT THIS FORM WITH FEE-CERTIFICATE OF MAILING ON REVERSE

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with  
the United States Postal Service with sufficient postage as first class  
mail in an envelope addressed to:

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Washington, D.C. 20231

on December 9, 1992  
(Date)

Jennifer K. Dodd  
(Name of person making deposit)

Jennifer K. Dodd  
(Signature)

12/9/92  
(Date)

Note: If this certificate of mailing is used, it can only be used to transmit the  
Issue Fee. This certificate cannot be used for any other accompanying  
papers. Each additional paper, such as an assignment or formal drawings,  
must have its own certificate of mailing.

This form is estimated to take 20 minutes to complete. Time will vary  
depending upon the needs of the individual applicant. Any comments on  
the amount of time you require to complete this form should be sent to the  
Office of Management and Organization, Patent and Trademark Office,  
Washington, D.C. 20231 and to the Office of Information and Regulatory  
Affairs, Office of Management and Budget, Washington, D.C. 20503.



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner of Patents and Trademarks, Washington,

D.C. 20231 on September 8, 1992  
Kurt L. Grossman 9/8/92

Kurt L. Grossman Reg. No. 29,759

DATE

Serial No.: 07/797,401  
Filed: November 26, 1991  
Group Art Unit: 2311  
Examiner: Khai Tran  
Applicant: Patrick D. McCarthy  
Entitled: CENTRALIZED CONSUMER CASH VALUE  
ACCUMULATION SYSTEM FOR MULTIPLE MERCHANTS

Cincinnati, Ohio

Hon. Commissioner of Patents  
and Trademarks  
Washington, DC 20231

September 8, 1992

Sir:

SUPPLEMENTAL AMENDMENT

This Amendment is supplemental to the Amendment mailed May 15, 1992 in response to the Official Action mailed March 11, 1992. Please amend the application as follows:

In the Claims

Please amend claims 14, 17, 21, 33, 35, and 36 as follows:

In claim 14, line 28, replace "corresponding" with --related--.

In claim 17, line 15, replace "corresponding" with --related--.

In claim 21, line 15, replace "corresponding" with --related--.

In claim 33, line 33, replace "corresponding" with --related--.

In claim 35, line 14, replace "corresponding" with --related--.

PATENT

8/B  
Williams  
12-10-92

RECEIVED

SEP 17 1992

GROUP 2300

39  
In claim 36, line 14, replace "corresponding" with  
--related--.

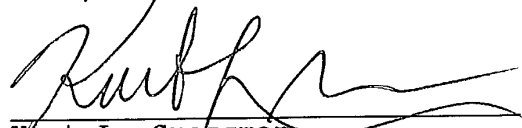
REMARKS

Upon further review of the claims pending in the application, it was discovered that the apparatus claims referred to increasing cash value "by an amount corresponding to the received credit value" whereas the method claims refer to increasing the cash value "in relation to the credit value". To avoid any possibility that a potential infringer would argue that the apparatus claims are to be more narrowly construed than the method claims in regard to the relationship between cash value and the credit value, the phrase of "related to" as used in the method claims has been substituted into the apparatus claims.

It is respectfully submitted that this Amendment does not alter the clear patentability of these claims nor require any further search or investigation on the part of Examiner. Entry of this Supplemental Amendment is therefore respectfully solicited. Applicant further respectfully requests a formal Notice of Allowance at the earliest possible date.

Respectfully submitted,

WOOD, HERRON & EVANS



Kurt L. Grossman  
Reg. No. 29,799

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